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PATENT APPLICATION

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Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is a(n):  Utility  Design

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INVENTOR(S): Herbert L. Ko

TITLE: Mutual Frequency Locking Across A Link

Enclosed are:

The Declaration and Power of Attorney.  signed  unsigned or partially signed  
 4 sheets of drawings (one set)  Associate Power of Attorney  
 Form PTO-1449  Information Disclosure Statement and Form PTO-1449  
 Priority document(s)  (Other) (fee \$ \_\_\_\_\_)

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
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ANY MULTIPLE DEPENDENT CLAIMS	0		\$260	\$ 0
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TOTAL FILING FEE				\$ 762
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TOTAL CHARGES TO DEPOSIT ACCOUNT				\$ 762

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By Nelia T. de Guzman

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Respectfully submitted,

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Mutual Frequency Locking Across a Link

BACKGROUND OF THE INVENTION

5 Field of the Invention

The present invention pertains to methods of achieving transmitter frequency lock between nodes in a full duplex communications link.

10 Art Background

A full duplex link consists of two transmitter/receiver nodes, using two frequencies. Typical designs make use of a reference oscillator for each transmitter and receiver, four total. The two operating frequencies are typically offset by a fixed amount. When operating radio links at millimeter wavelengths, for example in the neighborhood of 60 Giga Hertz (GHz), phase locked loop (PLL) techniques commonly used at lower frequencies that allow one reference to be derived from the other are impractical. For example, using frequencies of 60 and 62.5 GHz, stable dividers which will work over a wide temperature range are difficult to make.

15 20 Intermediate frequency (IF) PLL designs which will handle large bandwidth (on the order of 1.5 GHz) require IF frequencies high enough so that they interfere with received signals.

What is needed is a way of achieving frequency lock in a duplex link which 25 does not require separate reference oscillators for both transmitter and receiver.

SUMMARY OF THE INVENTION

Frequency lock between nodes in a full duplex link is maintained by using 30 received frequency information to tune the transmit carrier frequency, simultaneously locking both transmit frequencies in the link. An offset in the carrier frequency of one transmitter is detected as an offset at the corresponding receiver. That receiver shifts its transmitter carrier frequency in a corresponding manner, signaling the offset to the other transmitter. This is detected as a correcting offset in the other receiver, which

corrects the carrier frequency of its transmitter. A first embodiment uses filtered received frequency information derived from a baseband demodulator to correct transmitter frequency. A second embodiment uses filtered frequency information from a frequency detector to correct transmitter frequency.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is described with respect to particular exemplary embodiments thereof and reference is made to the drawings in which:

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Fig. 1 is a block diagram showing the present invention,

Fig. 2 is a block diagram of a full duplex radio link using the present invention,

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Fig. 3 is a diagram of a first embodiment of the frequency lock filter, and

Fig. 4 is a diagram of an additional embodiment of the present invention.

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#### DETAILED DESCRIPTION

Fig. 1 shows a block diagram of the present invention. Receiver 100 operates at a first predetermined frequency. While Fig. 1 shows signals propagating between receivers and transmitters using antennas, one or more wire connections may also be used, and if the invention is used in the optical domain, one or more optical fibers may be used. Receiver block 120 supplies signals to demodulator 130, which demodulates the data and presents it to data output 140, and to frequency comparator 150. The error output 160 of frequency comparator 150 represents the difference between the predetermined operating frequency of the receiver and the carrier frequency being received.

Error output 160 is filtered 200 producing transmitter tuning signal 210.

Transmitter **300** operates at a second predetermined frequency. Data input **310** is passed to modulator **320** and to transmitter **330**, whose frequency is determined by transmitter tuning signal **210**. Transmitter block **330** drives antenna **340**, or connects to other suitable transmission media.

5

Receiver **400** operates at the second predetermined frequency used by transmitter **300**. While the input to receiver block **420** is shown as antenna **410**, the receiver input could be a wire connect or an optical fiber. Receiver block **420** supplies signals to demodulator **430**, which demodulates the data and presents it to data output **440**, and to frequency comparator **450**. The error output **460** of frequency comparator **450** represents the difference between the predetermined operating frequency of the receiver and the carrier frequency being received.

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Error output **460** is filtered **500** producing transmitter tuning signal **510**.

15

Transmitter **600** operates at the first predetermined frequency, shared with receiver **100**. Data input **610** is passed to modulator **620** and to transmitter **630**, whose frequency is determined by transmitter tuning signal **510**. Transmitter block **630** drives antenna **640**, or connects to other suitable transmission media.

20

In operation, assume that carrier frequency of transmitter **600** is high in frequency. When received by receiver **100**, this produces an error output **160** on frequency comparator **150**, which is filtered **200**, shifting **210** the carrier frequency of transmitter **330**, signaling the offset in the incoming signal to receiver **400**.

25

Receiver **400** receives the signal from transmitter **300**, producing a corresponding error output **460** which is filtered **500**, shifting **510** the carrier frequency of transmitter **600**, correcting the offset detected by receiver **100**.

30

Note that the technique described is independent of the frequency or modulation used. An offset in carrier frequency at a first node is sensed and signaled to a second node by offsetting the carrier frequency of the first node's transmitter. The second node, employing the same process, senses the offset and corrects its transmit carrier frequency.

Fig. 2 shows a frequency modulated full duplex radio link according to the present invention. Receiver **100** and transmitter **600** share one operating frequency, and transmitter **300** and receiver **400** share a second frequency. In the present embodiment, receiver **100** and transmitter **600** operate at a frequency of 62.5 GHz. Transmitter **300** and receiver **400** operate at 60 GHz.

It should be understood that the techniques described herein are also applicable to other frequencies, and that both frequencies do not have to be in the same band. For example, these techniques are equally applicable at 2.6 GHz, or to split links, for example 900 MHz and 2.6 GHz.

In receiver **100**, reference oscillator **110** generates a 60 GHz signal. This is typically produced using an oscillator phase locked to a reference, as is known to the art. Other suitably stable known implementations may also be used. The output of reference oscillator **110** is combined with the signal from antenna **130** in downconverter **120**, producing an intermediate frequency (IF) output **140**. Since the input frequency of receiver **100** is 62.5 GHz and the reference frequency from oscillator **110** is 60 GHz, IF output **140** is at 2.5 GHz. In the present embodiment, data is encoded using frequency shift keying (FSK).

IF signal **140** is then converted to a baseband signal. In the preferred embodiment, a delay-line discriminator is used. Delay element **150** introduces a quarter wavelength delay into 2.5 GHz IF signal **140**. IF signal **140** is mixed **160** with the output of delay element **150** to produce baseband output **170**. Alternative frequency discrimination techniques known to the art may also be used.

Output **170** contains an alternating current (AC) component and a direct current (DC) component.

Blocking capacitor **180** passes the AC component, which contains the data, to output data terminal **190**. In the preferred embodiment, the data signal is a high data rate (up to a gigabit per second) signal.

The DC component of output **170** corresponds to the error offset of the incoming signal frequency at antenna **130**, in this case the output of transmitter **600** and its antenna **640**, from the desired center frequency of receiver **100**, in this case 62.5 GHz. This DC component is used to tune transmitter **300** after passing through filter **200**.

For other modulation schemes, such as an amplitude modulated video signal, a separate demodulator and frequency comparator may be required, as is shown in Fig. 1.

Transmitter **300** accepts data input at port **310**. The AC component of this data is passed by blocking capacitor **320** and combined with DC tuning signal **210** from filter **200**. This combined signal modulates voltage controlled oscillator **330**, producing a frequency modulated (FM) signal at antenna **340**. The center frequency of transmitter **300** is 60 GHz, established by the DC level of tuning signal **210**.

Receiver **400** operates in a similar manner to receiver **100**, except that it uses a reference oscillator **410** operating at 62.5 GHz, and an input frequency of 60 GHz. The output of reference oscillator **410** is combined with the signal from antenna **430** in downconverter **420**, producing an IF output **440**, at 2.5 GHz. Note the inversion of reference and receive frequencies from those used in receiver **100**.

IF signal **440** is then converted to baseband, in the present embodiment using a delay-line discriminator. Delay element **450** introduces a quarter wavelength delay into IF signal **440**. IF signal **440** is mixed **460** with the output of delay element **450** producing baseband output **470**. Blocking capacitor **480** passes the AC data carrying component to output terminal **490**.

The DC component of output **470** corresponds to the error offset of the incoming signal frequency at antenna **430**, in this case the output of transmitter **300**, from the desired center frequency of receiver **400**, in this case 60 GHz. This DC component is used to tune transmitter **600** after passing through filter **500**.

Transmitter **600** accepts data input at port **610**. The AC component of this data is passed by blocking capacitor **620** and combined with DC tuning signal **510** from filter **500**. This combined signal modulates voltage controlled oscillator **630**, producing an FM signal at antenna **640**. The center frequency of transmitter **600** is  
5 62.5 GHz, established by the DC level of tuning signal **510**.

In operation, receiver **100** uses a receive frequency, 62.5 GHz in the preferred embodiment, higher than the reference frequency of 60 GHz. Receiver **400** uses a receive frequency of 60 GHz, lower than its reference frequency of 62.5 GHz.

10

Frequency lock is obtained across the duplex link in the following manner. Assume that transmitter **600**, nominally operating at 62.5 GHz, is high in frequency. This will result in a high offset voltage **170** at the output of mixer **160** in receiver **100**. This high offset is processed by filter **200**, increasing the frequency of transmitter **300**  
15 through oscillator **330**.

When the signal from transmitter **300** is processed by receiver **400**, it produces an IF offset **470** at the output of mixer **460** which is low. This low offset is passed through filter **500**, and lowers the operating frequency of oscillator **630** and  
20 transmitter **600**, which is the desired feedback response.

Similarly, if the frequency of transmitter **600** is low in frequency, a low offset voltage **170** is produced, which is filtered and decreases the frequency of transmitter **300**. This in turn produces a high offset **470** in receiver **400**, raising the frequency of oscillator **600**.  
25

Thus, the frequencies of both transmitters are locked across the full duplex link. The overall design uses reference oscillators only for the receivers. Transmitter frequencies will lock and track over variations in temperature, voltage, and also  
30 through variations in manufacturing process and component tolerances.

To operate, this frequency correction loop through both transmitters and receivers requires one inversion. This inversion is obtained by using different

sidebands for the intermediate frequencies in the two receivers; the lower sideband is used in receiver **100**, and the upper sideband in receiver **400**.

5 In a first embodiment of the invention, the architecture of Fig. 2 is used with a simple integrator as filters **200** and **500**. This filter is shown in Fig. 3. When a simple damped integrator as shown in Fig. 3 is used, the overall system response is a second order loop, and is unconditionally stable.

10 In Fig 3, input terminal **200** connects to the output of mixer **160** or **460**, containing the desired DC frequency component as well as the AC data. This signal is passed through resistor **210** to operational amplifier **220**. Resistor **230** and capacitor **240** complete the damped integrator. The time constant of the integrator should be lower than any operating frequency in the system. In the present embodiment, the time constant for this filter is on the order of one millisecond.

15 While the embodiment of Fig. 2 used analog techniques, one node of an additional embodiment, as shown in Fig. 4, uses digital techniques. In receiver **100**, reference oscillator **110** generates a reference signal for downconverter **120**, which converts signal from antenna **130** to an intermediate frequency (IF). In the preferred embodiment, reference oscillator **110** is in the 60 GHz band, and the IF is 2.5 GHz. 20 IF signal **140** is converted to baseband using a delay-line discriminator comprising quarter wavelength delay element **150**, and mixer **160**. The resulting baseband data **165** is decoupled **170** and presented at the data output **175**.

25 IF signal **140** is also presented to frequency detector **180**, which is also fed by reference oscillator **185**. In the preferred embodiment reference oscillator **185** is a 32 MHz crystal oscillator, and frequency detector **180** is a LMX2330L from National Semiconductor Corporation. The output **190** of frequency detector **180**, the offset error, is fed to filter **200**, producing tuning signal **210**.

30 Transmitter **300** accepts data input at port **310**. The AC component of this data is passed by blocking capacitor **320** and combined with tuning signal **210** from filter **200**. This combined signal modulates oscillator **330**, producing an FM signal at

antenna **340**. The center frequency of transmitter **300** is controlled by tuning signal **210**.

5 In operation, this embodiment produces offset error signal **190** digitally, but in all other respects operates in the same manner as the other embodiments disclosed.

Fig. 5 shows filter **200** for use with the embodiment of Fig. 4. As the output of the LMX2330L is a digital charge pump, that output **500** is first integrated by resistor **520** and capacitor **510**. The resulting signal is filtered by op amp **530** through 10 resistor **540** and the network comprised of resistor **550** and capacitor **560**. The resulting tuning output is present at **570**.

The foregoing detailed description of the present invention is provided for the purpose of illustration and is not intended to be exhaustive or to limit the invention to 15 the precise embodiments disclosed. Accordingly the scope of the present invention is defined by the appended claims.

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CLAIMS

What is claimed is:

5 1. A method of achieving mutual transmitter frequency lock in a full duplex link  
consisting of a first receiver and transmitter, and a second receiver and transmitter, the  
first receiver and second transmitter operating on one frequency, and the first  
transmitter and second receiver operating on a second frequency, comprising:  
10 receiving the signal from the second transmitter at the first receiver,  
deriving a first frequency correction signal from the first receiver,  
shifting the frequency of the first transmitter using the first correction signal,  
receiving the signal from the first transmitter at the second receiver,  
deriving a second frequency correction signal from the second receiver, and  
shifting the frequency of the second transmitter using the second correction  
15 signal.

2. The method of Claim 1 where the method of deriving a frequency correction  
signal comprises:  
20 deriving an offset from a baseband demodulator, the offset corresponding to  
the difference between the frequency of the signal received and the center frequency  
of the receiver, and  
filtering the offset from the baseband demodulator.

25 3. The method of Claim 1 where the method of deriving a frequency correction  
signal comprises:  
downconverting the received signal to an intermediate frequency signal,  
comparing the intermediate frequency signal to a reference signal producing a  
digital comparison signal, and  
30 integrating the digital comparison signal.

4. The method of Claim 1 where the first and second frequencies are in the 60  
GHz region.

5. The method of Claim 1 where the signals between receivers and transmitters are propagated via antennas.

6. The method of Claim 1 where the signals between receivers and transmitters are propagated by wire.

5 7. The method of Claim 1 where the signals between receivers and transmitters are propagated by optical fiber.

10 8. A communications node for communicating with another node comprising: receiver means for receiving a first signal at a first predetermined frequency, the receiver means having a signal input, data output, and an offset signal output representing the frequency difference between the first predetermined frequency and the carrier frequency of the first signal,

15 transmitter means for transmitting a second signal at a second predetermined frequency, the transmitter means having a data input, carrier frequency tuning input, and a signal output, and control means taking the offset signal from the receiver and producing the carrier frequency tuning input for the transmitter, thereby signaling the frequency

20 offset in the first signal to the other node.

9. The communications node of Claim 8 where the receiver and transmitter operate in the 60 GHz region.

25 10. The communications node of Claim 8 where the receiver and transmitter operate in different frequency bands.

11. The communications node of Claim 8 where the receiver means includes means for downconverting the first signal to an intermediate frequency signal.

30 12. The communications node of Claim 11 where the receiver offset signal is derived from the intermediate frequency signal by a baseband demodulator.

13. The communications node of Claim 12 where the baseband demodulator is a frequency discriminator.

14. The communications node of Claim 11 where the receiver offset signal is derived from the intermediate frequency signal using a frequency comparator driven by a reference.

15. A full duplex communications link comprising:  
first receiver means for receiving a first signal at a first predetermined frequency, the receiver means having a signal input, data output, and an offset signal output representing the frequency difference between the first predetermined frequency and the frequency of the first signal,  
first transmitter means for transmitting a second signal at a second predetermined frequency, the transmitter means having a data input, frequency tuning input, and a signal output,  
first control means taking the offset signal from the first receiver and producing the frequency tuning input for the first transmitter, signaling the offset in the first signal to the second receiver,  
second receiver means for receiving the second signal at the second predetermined frequency, the receiver means having a signal input, data output, and an offset signal output representing the frequency difference between the first predetermined frequency and the frequency of the second signal,  
second transmitter means for transmitting the first signal at the first predetermined frequency, the transmitter means having a data input, frequency tuning input, and a signal output, and  
second control means for taking the offset signal from the second receiver and producing the frequency tuning input for the second transmitter, thereby responding to the offset sensed by the first receiver, and correcting the frequency of the second transmitter accordingly.

16. The communications link of Claim 15 where both transmitters and receivers operate in the 60 GHz region.

17. The communications link of Claim 15 where the receiver means further comprises downconverter means for downconverting the signal input to an intermediate frequency from which the data output and offset signal output are derived.

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18. The communications link of Claim 17 where the offset signal is derived from the intermediate frequency signal using a baseband demodulator.

10 19. The communications link of Claim 18 where the baseband demodulator is a frequency discriminator.

20. The communications link of Claim 19 where the baseband demodulator is a delay line discriminator.

15 21. The communications link of Claim 17 where the offset signal is derived from the intermediate frequency signal using a frequency comparator driven by a reference.

22. The communications link of Claim 15 where the signals between receivers and transmitters are propagated via antennas.

20

23. The communications link of Claim 15 where the signals between receivers and transmitters are propagated by wire.

25

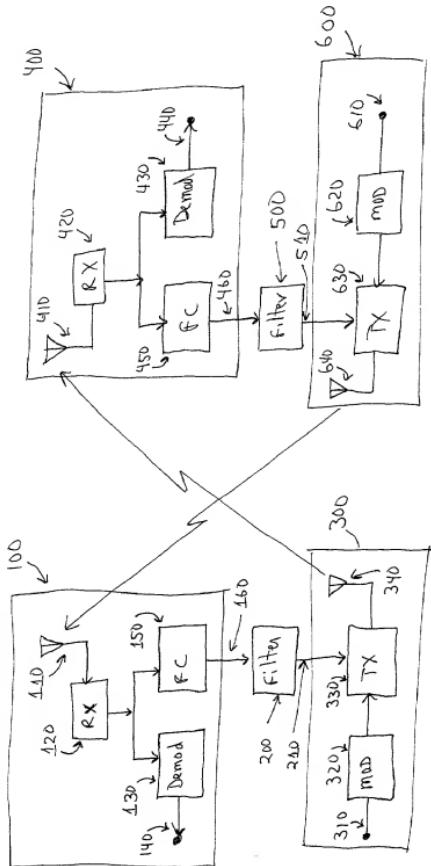
24. The communications link of Claim 15 where the signals between receivers and transmitters are propagated by optical fiber.

ABSTRACT

Transmitter frequency locking across a full duplex communications link. An offset in one transmitter results in an offset at the corresponding receiver. That receiver offset shifts its transmitter in a corresponding manner, causing a correcting offset in the first receiver, which is used to correct the first transmitter. A first embodiment uses filtered received frequency information derived from a baseband demodulator to correct transmitter frequency. A second embodiment uses filtered frequency information from a frequency detector to correct transmitter frequency.

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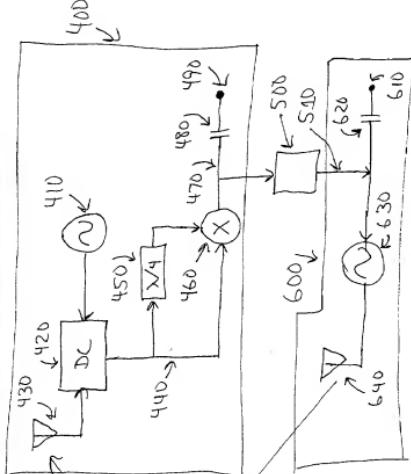
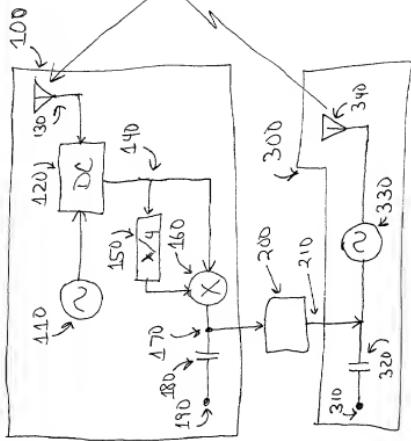


Fig. 2

ମହାରାଜ୍ୟ ଦେଶର ବିଭିନ୍ନ ପରିକାର

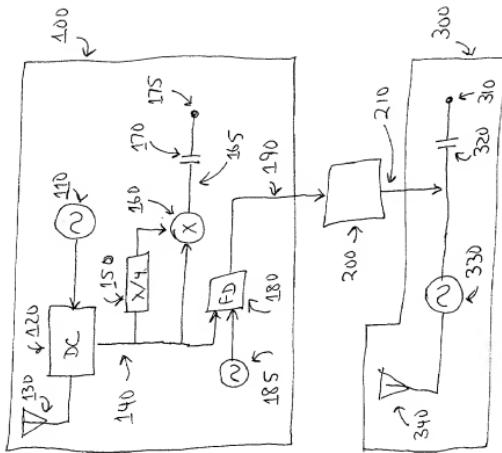


Fig. 4

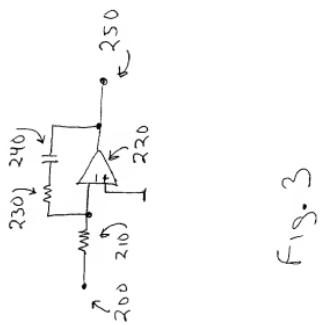


Fig. 3

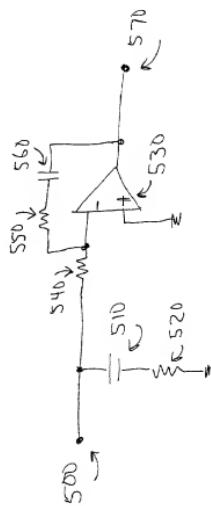


Fig. 5

DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

ATTORNEY DOCKET NO. 10991610-1

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Mutual Frequency Locking Across A Link**

the specification of which is attached hereto unless the following box is checked:

(  ) was filed on \_\_\_\_\_ as US Application Serial No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

**Foreign Application(s) and/or Claim of Foreign Priority**

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES: <input type="checkbox"/> NO: <input type="checkbox"/>
			YES: <input type="checkbox"/> NO: <input type="checkbox"/>

**Provisional Application**

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below:

APPLICATION SERIAL NUMBER	FILING DATE

**U. S. Priority Claim**

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (patented/pending/abandoned)

**POWER OF ATTORNEY:**

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: **Herbert L. Ko** Citizenship: **US**Residence: **407 W. Dana Street, Mountain View CA 94041**Post Office Address: **Same as residence**

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_